

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 6, 2024		Payment Due Date: January 6, 2025	
MSA File Number	2024-241	Specified Penalty Amount	\$500
Market Participant Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	103.12	Date of Contravention	February 29, 2024
Date of Referral/Self Report	August 19, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 14, 2024, the Alberta Electric System Operator issued an information request (IR) to Air Liquide Canada Inc. regarding the asset ALS1 with a deadline to respond of February 29, 2024. The pool participant did not respond to the IR until March 7, 2024. Section 103.12 of the ISO rules states, in part:

5 A market participant that receives a request pursuant to subsection 4(1) must provide the ISO with all information that the ISO requests and must do so in the time period set out in the request.

The MSA is satisfied that the conduct was a contravention of section 103.12 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on December 6, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 6, 2024		Payment Due Date: January 6, 2025	
MSA File Number	2024-241	Specified Penalty Amount	\$1,500
Market Participant Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	103.12	Date of Contravention	April 10, 2024
Date of Referral/Self Report	August 19, 2024	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On March 26, 2024, the Alberta Electric System Operator issued an information request (IR) to Air Liquide Canada Inc. regarding the asset ALS1 with a deadline to respond of April 10, 2024. The pool participant did not respond to the IR until May 15, 2024. Section 103.12 of the ISO rules states, in part:

5 A market participant that receives a request pursuant to subsection 4(1) must provide the ISO with all information that the ISO requests and must do so in the time period set out in the request.

The MSA is satisfied that the conduct was a contravention of section 103.12 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on December 6, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 6, 2024		Payment Due Date: January 6, 2025	
MSA File Number	2024-241	Specified Penalty Amount	\$5,000
Market Participant Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	103.12	Date of Contravention	July 12, 2024
Date of Referral/Self Report	August 19, 2024	This is the third contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On June 26, 2024, the Alberta Electric System Operator issued an information request (IR) to Air Liquide Canada Inc. regarding the asset ALS1 with a deadline to respond of July 12, 2024. The pool participant did not respond to the IR until August 6, 2024. Section 103.12 of the ISO rules states, in part:

5 A market participant that receives a request pursuant to subsection 4(1) must provide the ISO with all information that the ISO requests and must do so in the time period set out in the request.

The MSA is satisfied that the conduct was a contravention of section 103.12 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on December 6, 2024