

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 6, 2024		Payment Due Date: January 6, 2025	
MSA File Number	2024-135	Specified Penalty Amount	\$250
Market Participant Name	TransAlta Generation Partnership		
Asset ID (if applicable)	SD6	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2024
Date of Referral/Self Report	April 19, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 12, 2024, the SD6 asset was contracted to provide 15 MW of regulating reserve and 55 MW of spinning reserve. Information available to the MSA indicates that during the HE 10 settlement interval, the automatic generation control setpoints for SD6 were turned off while responding to a spinning reserve directive. ISO rule 205.4 states in part:

5(5) A pool participant must ensure that the automatic generation control signal issued by the ISO in accordance with subsection 5(4) can move each regulating reserve resource being used to provide regulating reserve within the regulating reserve range.

The MSA is satisfied that the conduct was a contravention of section 205.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on December 6, 2024