

## NOTICE OF SPECIFIED PENALTY

Date of Issue: February 11, 2026		Payment Due Date: March 13, 2026	
MSA File Number	2024-094	<b>Specified Penalty Amount</b>	<b>\$250</b>
Market Participant Name	Enfinite Corporation		
Asset ID (if applicable)	ERV4	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 14, 2024
Date of Referral/Self Report	March 13, 2024	This is the 1st contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 14, 2024, during HE 20-21, ERV4 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

3(1) A pool participant seeking to have its pool asset qualified by the ISO to provide spinning reserve must ensure that its pool asset has at least one (1) spinning reserve resource that is:

- (a) at a minimum, capable of providing:
  - (i) 10 MW of spinning reserve; and
  - (ii) the amount of real power applied for under subsection 2(2) for a period of sixty (60) minutes.
- (b) equipped with a governor or governor system that:
  - (i) is responsive to both over frequency and under frequency events;
  - (ii) has a total deadband of equal to or less than 0.036 Hz;
  - (iii) has a droop setting equal to or greater than 3% but less than or equal to 5% based on the maximum operating range of the spinning reserve resource, as specified by the ISO;
  - (iv) has no time delays, ramp characteristics or other control settings that prevent the spinning reserve resource from providing an immediate, automatic and sustained response to frequency deviations;
  - (v) has a sample rate of at least 20 samples per second;
  - (vi) has a resolution of at least 0.004 Hz; and
  - (vii) is not acting as a governor or governor system for more than one (1) spinning reserve resource

While under dispatch to provide spinning reserve, Enfinite Corporation failed to ensure ERV4 was equipped with a governor that was responsive to over and under frequency events and capable of an immediate, automatic and sustain response to frequency deviations as set out in 3(1)(b). The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**APPROVED BY**

Mike Morganton, Executive Director, Enforcement on February 11, 2026