

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 27, 2024		Payment Due Date: September 27, 2024	
MSA File Number	2023-373	Specified Penalty Amount	\$250
Market Participant Name	NAT-1 Limited Partnership		
Asset ID (if applicable)	NAT1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	August 13, 2023
Date of Referral/Self Report	August 25, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On August 13, 2023, at approximately 12:57, NAT1 accepted a dispatch for 15 MW of energy effective at 13:00. Information available to the MSA indicates that NAT1 did not reach its allowable dispatch variance by the minute ending 14:03. Section 203.4 of the ISO rules states, in part:

- 4(1) A pool participant must move the output of a generating source asset which is:
- (a) the subject of a dispatch; and
 - (b) ramping towards the MW level indicated in that dispatch within 10 minutes of the time specified in the dispatch but not prior to the time specified in the dispatch.
- (2) A pool participant must ensure that each generating source asset reaches generating asset steady state in:
- (a) no longer than the period of time calculated as follows:
 - (i) divide the change in dispatch MW by the ramp rate the pool participant submits;
 - (ii) add 40% of the time calculated in subsection 4(2)(a)(i) or 5 minutes, whichever is greater; and
 - (iii) add the 10 minutes referred to in subsection 4(1); and [...]

The MSA is satisfied that the conduct was a contravention of section 203.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on August 27, 2024