

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 24, 2024		Payment Due Date: July 24, 2024	
MSA File Number	2023-304	Specified Penalty Amount	\$250
Market Participant Name	Windrise Wind LP		
Asset ID (if applicable)	WRW1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	502.1	Date of Contravention	May 10, 2022
Date of Referral/Self Report	July 19, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

Information available to the MSA indicates that from May 10, 2022 to July 11, 2023 the frequency settings for WRW1 were turned off and WRW1 was not free to respond to frequency changes and control the response to frequency changes.

Section 502.1 of the ISO rules states, in part:

7(1) An aggregated generating facility must have a continuously acting governor system, which must be designed:

(a) to be continuously in service, free to respond to frequency changes and controlling the response to frequency changes while the aggregated generating facility is connected to the transmission system or a transmission facility within the service area of the City of Medicine Hat and is producing any real power as measured at the collector bus;

The MSA is satisfied that the conduct was a contravention of section 502.1 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on June 21, 2024