

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 20, 2023		Payment Due Date: December 20, 2023	
MSA File Number	2023-211	Specified Penalty Amount	\$750
Market Participant Name	Alberta Pacific Forest Industries Inc.		
Asset ID (if applicable)	AFG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	May 1, 2023
Date of Referral/Self Report	May 17, 2023	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 1, 2023, at approximately 15:40, AFG1 accepted a dispatch for 31 MW of energy effective at 15:41. The pool participant did not move the output of AFG1 towards the MW level indicated in the dispatch and received a new dispatch at 16:53. Information available to the MSA indicates that AFG1 did not satisfy the ramping requirements outlined in subsection 4 of section 203.4. Section 203.4 of the ISO rules states, in part:

4(1) A pool participant must move the output of a generating source asset which is:

(a) the subject of a dispatch; and

(b) ramping towards the MW level indicated in that dispatch within 10 minutes of the time specified in the dispatch but not prior to the time specified in the dispatch.

(2) A pool participant must ensure that each generating source asset reaches generating asset steady state in:

(a) no longer than the period of time calculated as follows:

(i) divide the change in dispatch MW by the ramp rate the pool participant submits;

(ii) add 40% of the time calculated in subsection 4(2)(a)(i) or 5 minutes, whichever is greater; and

(iii) add the 10 minutes referred to in subsection 4(1); and [...]

The MSA is satisfied that the conduct was a contravention of section 203.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to azeez.z.salawu@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the dollar amount, date of the EFT, market participant name and the MSA File #. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on November 20, 2023