

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 28, 2024		Payment Due Date: March 29, 2024	
MSA File Number	2023-152	Specified Penalty Amount	\$250
Market Participant Name	ATCO Electric Ltd.		
Asset ID (if applicable)	N/A	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	306.4	Date of Contravention	March 13, 2023
Date of Referral/Self Report	April 6, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

ATCO Electric Ltd. (ATCO) scheduled an outage to occur on March 28, 2023 on 9L66. On Sunday, March 12th at 09:44, ATCO submitted a change to the permit to begin work on Monday, March 13, 2023.

Section 306.4 of the ISO rules states, in part:

3 (2) The legal owner of a transmission facility must submit to the ISO a significant planned outage request as soon as possible, and not less than 30 days before the start of the operating week in which the significant planned outage is intended to occur.

4(1) The legal owner of a transmission facility must submit to the ISO any changes to a previously submitted planned outage request, including cancellations, as soon as possible, and no later than 10:00 am on the business day before the first day impacted by the intended change to the previously submitted planned outage request.

(2) The legal owner of a transmission facility must, if it is unable to comply with subsection 4(1), submit to the ISO a cancellation of a planned outage request as soon as possible after the deadline set out in subsection 4(1), and provide a reason as to why it was unable to submit the cancellation by that deadline.

The MSA is satisfied that the conduct was a contravention of section 306.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 28, 2024