NOTICE OF SPECIFIED PENALTY

Date of Issue: October 31, 2023		Payment Due Date: November 30, 2023	
MSA File Number	2023-118	Specified Penalty Amount	\$500
Market Participant Name	Wild Run LP		
Asset ID (if applicable)	GRZ1	Self-Report	□ YES 🛛 NO
ISO Rule Section	203.1	Date of Contravention	December 17, 2022 through December 25, 2022, and December 27, 2022 through January 17, 2023
Date of Referral/Self Report	March 17, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	
MSA FINDINGS			

Information available to the MSA indicates that the GRZ1 asset was undergoing commissioning in December 2022 and January 2023. For the dates December 17, 2022 HE 01 through December 25, 2022 HE 24, and December 27, 2022 HE 01 through January 17, 2023 HE 24, offers were submitted for the GRZ1 asset such that the price was greater than zero dollars. Section 203.1 of the ISO rules states in part:

4 Notwithstanding subsection 3(3)(a)(i), a pool participant that submits an offer for a generating source asset which is undergoing commissioning and testing under section 505.3 of the ISO rules, Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing must, until the ISO otherwise authorizes in writing, submit a price for the offer of zero dollars (\$0).

The MSA is satisfied that the conduct was a contravention of section 203.1 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to <u>compliance@albertamsa.ca</u> for instructions. When submitting the EFT, please send an email to <u>azeez.z.salawu@gov.ab.ca</u>, <u>compliance@albertamsa.ca</u> and <u>enforcement@auc.ab.ca</u> noting the dollar amount, date of the EFT, market participant name and the MSA File #. Questions can be directed to <u>compliance@albertamsa.ca</u>.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at <u>compliance@albertamsa.ca</u>.

APPROVED BY

Mark Nesbitt, Director, Enforcement on October 31, 2023