

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 5, 2023		Payment Due Date: October 5, 2023	
MSA File Number	2023-111	Specified Penalty Amount	\$500
Market Participant Name	Conrad Solar Inc.		
Asset ID (if applicable)	CRD1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	301.2	Date of Contravention	October 16, 2022
Date of Referral/Self Report	March 17, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

On October 16, 2022, during HE 11, the CRD1 asset received two transmission constraint directives. For the duration of the directives, the asset did not reduce its generation to the reliability limit that was in effect. As a result, the asset did not comply with the directives. Section 301.2 of the ISO rules states, in part:

3(1) A market participant must comply with a directive it receives subject to any other ISO rule or reliability standard and the exceptions in subsections 3(2) and 3(3).

FINDINGS

Based on the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 301.2 of the ISO rules.

MATERIAL FACTS

The material facts relied on by the MSA include the following:

1. AESO available capability, dispatch, directive, generation and offer data for CRD1 for October 16, 2022.
2. AESO information request issued to Conrad Solar Inc., dated January 11, 2023.
3. Conrad Solar Inc.'s responses to the AESO information request, dated January 25 and February 27, 2023.
4. Referral from the AESO to the MSA dated March 17, 2023.

DELIVERY OF PAYMENT

Payment by cheque, certified funds or electronic funds transfer (EFT) is to be made payable to the "General Revenue Fund c/o Minister of Finance" and delivered to Alberta Affordability and Utilities c/o Energy and Minerals at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions, including questions about EFT instructions, can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on September 5, 2023