

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 31, 2022		Payment Due Date: October 3, 2022	
MSA File Number	2022-256	Specified Penalty Amount	\$750
Market Participant Name	Alberta Power (2000) Ltd.		
Asset ID (if applicable)	BR5	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	May 16, 2022
Date of Referral/Self-Report	June 13, 2022	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

On May 16, 2022, at approximately 11:40, the declared available capability (AC) of the BR5 asset was 0 MW for the May 17, 2022 HE 01 through 12 settlement intervals until approximately 04:09 on May 17, 2022, when the AC was restated to 385 MW for the May 17, 2022 HE 05 through 12 settlement intervals. Information available to the MSA indicates that the revision to 0 MW was not a result of an acceptable operational reason. Section 203.3 of the ISO rules states in part:

2(1) A pool participant must only submit an available capability restatement revising the available capability of a source asset if such revision is:

- (a) as a result of an acceptable operational reason;
[...]

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.3 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for BR5 for May 17, 2022.
2. Self-report submitted by Heartland Generation Ltd. on behalf of Alberta Power (2000) Ltd. to the MSA dated June 13, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	August 31, 2022
Name	Andrew Wilkins	Title	Director, Compliance