

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 31, 2022		Payment Due Date: October 3, 2022	
MSA File Number	2022-177	Specified Penalty Amount	\$250
Market Participant Name	Irrigation Canal Power Co-op Ltd.		
Asset ID (if applicable)	RYMD	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	May 22, 2022
Date of Referral/Self-Report	June 15, 2022	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

On May 22, 2022, at approximately 08:46, an energy dispatch for 18 MW was received and acknowledged for the RYMD asset, effective at 09:00 on the same date. Information available to the MSA indicates that RYMD did not satisfy the requirements of subsections 4, 5 and 6 of section 203.4 of the ISO rules. Section 203.4 of the ISO rules states in part:

4(1) A pool participant must move the output of a generating source asset which is:

- (a) the subject of a dispatch; and
- (b) ramping

towards the MW level indicated in that dispatch within 10 minutes of the time specified in the dispatch but not prior to the time specified in the dispatch.

(2) A pool participant must ensure that each generating source asset reaches generating asset steady state in:

- (a) no longer than the period of time calculated as follows:
 - (i) divide the change in dispatch MW by the ramp rate the pool participant submits;
 - (ii) add 40% of the time calculated in subsection 4(2)(a)(i) or 5 minutes, whichever is greater; and
 - (iii) add the 10 minutes referred to in subsection 4(1);

and

- (b) no sooner than the period of time calculated as follows:
 - (i) divide the change in dispatch MW by the ramp rate the pool participant submits; and
 - (ii) subtract 40% of the time calculated in subsection 4(2)(b)(i) or 5 minutes, whichever is greater.

5(1) A pool participant must, if a generating source asset experiences an operational deviation, verbally inform the ISO as soon as practical of the occurrence of the operational deviation and provide a description of the cause if known.

(2) A pool participant must inform the ISO of the information required under subsection 5(1) on a telephone line the ISO designates, which must contain a voice recording system.

(3) A pool participant must, if an operational deviation extends for 20 minutes or longer, submit an available capability restatement or MW restatement for the generating source asset that represents the operational capability of the generating source asset and must do so no later than 20 minutes after the commencement of the operational deviation.

6(1) Notwithstanding the provisions set out in subsections 3, 4 and 5, the ISO must not determine that a pool participant is non-compliant with a dispatch for a generating source asset if the pool participant has met its responsibilities as set out subsection 2 and one or more of the following circumstances occur:

- (a) the generating source asset is ramping into position to provide operating reserve in response to a dispatch in the 15 minutes before the time indicated in that dispatch;
- (b) the generating source asset is operating below the minimum stable generation level indicated in the Energy Trading System, but only if that generating source asset is:
 - (i) synchronizing and its available capability the pool participant submitted is equal to its minimum stable generation and it has received a dispatch for that quantity, in MW;
 - (ii) going off line and its available capability the pool participant submitted is equal to 0 MW and it has received a dispatch for that quantity, in MW;
 - (iii) unable to follow the ramp rate the pool participant submitted when its output is being increased to its minimum stable generation and the pool participant has submitted a verbal plan to the ISO indicating a proposal for ramping to minimum stable generation, which verbal plan must be provide an estimate of the time required to achieve the ramp rate and be updated for deviations of greater than 30 minutes or 50 MW; or
 - (iv) stopped at an output level not identified in the verbal plan referenced in subsection 6(1)(b)(iii) above, but which is below minimum stable generation for more than 30 minutes for an operational reason and the pool participant has submitted a restatement of the available capability accordingly;

- (c) the generating source asset is responding to abnormal frequency through automatic governor or governor system action;
- (d) an operational deviation has occurred and the pool participant has complied with subsection 5; and
- (e) energy is being delivered to the interconnected electric system from a generating source asset while it is being tested or commissioned or both, in accordance with applicable provisions of the ISO rules.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for RYMD for May 22, 2022.
2. Self-report submitted by URICA Energy Real Time Ltd. on behalf of Irrigation Canal Power Co-op Ltd. to the MSA dated June 15, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the “General Revenue Fund c/o Minister of Finance”, and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	“Original Signed”	Signature Date	August 31, 2022
Name	Andrew Wilkins	Title	Director, Compliance