

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 31, 2022		Payment Due Date: November 30, 2022	
MSA File Number	2022-166	Specified Penalty Amount	\$2,500
Market Participant Name	TransCanada Energy Sales Ltd.		
Asset ID (if applicable)	ESBC	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.6	Date of Contravention	May 14, 2022
Date of Referral/Self Report	June 8, 2022	This is the third contravention by this asset for this section of the ISO rules within a rolling 12-month period.	
EVENT DETAILS			
<p>For the HE 18 and HE 19 settlement intervals on May 14, 2022, TransCanada Energy Sales Ltd. submitted e-tags for an energy transaction which did not agree with the available capability of the ESBC asset as stated two hours prior to the start of the settlement interval. Section 203.6 of the ISO rules states in part:</p> <p style="padding-left: 40px;">6(3) A pool participant must submit one (1) or more e-tags for an energy interchange transaction such that the final total amount in MW agrees with the available capability of the single source asset: (a) as stated two (2) hours prior to the start of the settlement interval; or [...]</p>			
FINDINGS			
Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.6 of the ISO rules.			
MATERIAL FACTS			
<p>The material facts relied upon by the MSA include the following:</p> <ol style="list-style-type: none"> 1. AESO available capability, offer, and interchange data for ESBC for May 14, 2022. 2. Self-report submitted by TransCanada Energy Sales Ltd. to the MSA dated June 8, 2022. 			
DELIVERY OF PAYMENT			
<p>Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.</p>			
NOTICE			
<p>The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the <i>Alberta Utilities Commission Act</i> to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.</p> <p>In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.</p> <p>In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.</p> <p>If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.</p>			
SIGNATURE			
Signature	"Original Signed"	Signature Date	October 31, 2022
Name	Andrew Wilkins	Title	Director, Compliance