

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 29, 2022		Payment Due Date: August 30, 2022	
MSA File Number	2022-133	Specified Penalty Amount	\$500
Market Participant Name	ENMAX Generation Portfolio Inc.		
Asset ID (if applicable)	CRS3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.3	Date of Contravention	November 13, 2021
Date of Referral/Self Report	May 5, 2022	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

The CRS3 asset was contracted to provide spinning reserve for the HE 01 through HE 07 and HE 24 settlement intervals of November 14, 2021. At approximately 21:53 on November 13, 2021, the declared available capability of the CRS3 asset for HE 01 on November 14 was restated to 0 MW due to a forced outage. However, no restatement for spinning reserve to represent the operating state of the pool asset was submitted until approximately 23:46 on November 13, 2021. Section 205.3 of the ISO rules states, in part:

3(4) A pool participant that submits an offer must, as soon as reasonably practicable, submit a restatement to represent the operating state of the pool asset if:

(a) the pool asset is no longer able to deliver the MW set out in the offer; or

(b) the pool participant is not able to meet the requirements set out in Section 205.4 of the ISO rules, Regulating Reserve Technical Requirements and Performance Standards, Section 205.5 of the ISO rules, Spinning Reserve Technical Requirements and Performance Standards or Section 205.6 of the ISO rules, Supplemental Reserve Technical Requirements and Performance Standards, as applicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 205.3 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for CRS3 for November 13 and 14, 2021.
2. AESO information request issued to ENMAX Generation Portfolio Inc., dated April 6, 2022.
3. ENMAX Generation Portfolio Inc.'s response to the AESO information request, dated April 26, 2022.
4. Referral from the AESO to the MSA dated May 5, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	July 29, 2022
Name	Andrew Wilkins	Title	Director, Compliance