

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 28, 2023		Payment Due Date: March 30, 2023	
MSA File Number	2022-129	Specified Penalty Amount	\$250
Market Participant Name	Syncrude Canada Ltd.		
Asset ID (if applicable)	SCL1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	502.6	Date of Contravention	November 21, 2017 through April 10, 2022
Date of Referral/Self Report	May 3, 2022	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

Information available to the MSA indicates that there were instances between November 21, 2017 and April 10, 2022, where the various generating units that make up the SCL1 asset exceeded their maximum authorized real power. Section 502.6 of the ISO rules states in part:

5(1) The operator of a generating unit must not operate the generating unit above its maximum authorized real power.

[...]

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 502.6 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation data for SCL1 for November 21, 2017 through April 10, 2022.
2. Self-report submitted by Syncrude Canada Ltd. to the MSA dated May 3, 2022.
3. Additional information provided by Syncrude Canada Ltd. to the MSA dated May 17, 2022 and February 23, 2023.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	February 28, 2023
Name	Andrew Wilkins	Title	Director, Compliance