

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 3, 2022		Payment Due Date: September 6, 2022	
MSA File Number	2022-117	Specified Penalty Amount	\$500
Market Participant Name	ATCO Power (2010) Ltd.		
Asset ID (if applicable)	OMRH	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	July 13, 2021
Date of Referral/Self Report	April 19, 2022	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On July 13, 2021, during ten 10 minute clock periods between minute ending 18:31 and 20:20, the average generation delivered by the OMRH asset varied outside of the allowable dispatch variance due an operational deviation. Information available to the MSA indicates that no restatements were made within 20 minutes. Section 203.4 of the ISO rules states, in part:

3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a generating source asset in any 10 minute clock period outside the allowable dispatch variance.

5(3) A pool participant must, if an operational deviation extends for twenty (20) minutes or longer, submit an available capability restatement or MW restatement for the generating source asset that represents the operational capability of the generating source asset and must do so no later than twenty (20) minutes after the commencement of the operational deviation.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for OMRH for July 13, 2021.
2. AESO information request issued to ATCO Power (2010) Ltd., dated January 10, 2022.
3. ATCO Power (2010) Ltd.'s response to the AESO information request, dated January 24, 2022.
4. Referral from the AESO to the MSA dated April 19, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	August 3, 2022
Name	Andrew Wilkins	Title	Director, Compliance