

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2022		Payment Due Date: January 4, 2023	
MSA File Number	2022-109	Specified Penalty Amount	\$500
Market Participant Name	British Columbia Hydro and Power Authority (BC Hydro)		
Asset ID (if applicable)	FNG1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	505.4	Date of Contravention	June 18, 19, and 23, 2021
Date of Referral/Self Report	April 14, 2022	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

On June 25, 2021, the AESO received an email from BC Hydro regarding operational testing that was planned to occur on June 18 and 19, 2021, but was conducted on June 23, 2021. Information available to the MSA indicates that the AESO was not notified of the June 18, 19 or 23, 2021 testing plan prior to the testing. Section 505.4 of the ISO rules states in part:

- 2 Each of the legal owner of a generating unit and the legal owner of an aggregated generating facility must provide final, written operational testing plans to the ISO:
- (a) which the ISO approves as being able to be implemented without impacting the reliable operation of the interconnected electric system;
 - (b) detailing the proposed date and time of operational testing and expected duration;
 - (c) specifying the types of operational testing activities;
 - (d) detailing the expected output of real power and reactive power from the generating facility to the interconnected electric system and over what periods of time; and
 - (e) in sufficient time to allow the ISO to approve the operational testing plans a minimum of fifteen (15) days prior to the desired testing date.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 505.4 of the ISO rules.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability, dispatch, generation and offer data for FNG1 for June 18, 19, and 23, 2021.
 2. AESO information request issued to Powerex Corp., dated December 17, 2021.
 3. Powerex Corp.'s response on behalf of BC Hydro to the AESO information request, dated January 6, 2022.
 4. Referral from the AESO to the MSA dated April 14, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	November 29, 2022
Name	Andrew Wilkins	Title	Director, Compliance