

NOTICE OF SPECIFIED PENALTY

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|---------------------------------|--------------------------------|---|---|
| Date of Issue: October 31, 2022 | | Payment Due Date: November 30, 2022 | |
| MSA File Number | 2022-107 | Specified Penalty Amount | \$250 |
| Market Participant Name | Yellow Lake & Burdett Solar LP | | |
| Asset ID (if applicable) | WEF1 | Self-Report | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| ISO Rule Section | 301.2 | Date of Contravention | March 11, 2022 |
| Date of Referral/Self Report | April 10, 2022 | This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period. | |

EVENT DETAILS

Throughout March 11, 2022, the WEF1 asset was in receipt of multiple transmission constraint directives beginning at approximately 09:39. Information available to the MSA indicates that WEF1 did not comply with all directives received. Section 301.2 of the ISO rules states, in part:

3(1) A market participant must comply with a directive it receives subject to any other ISO rule or reliability standard and the exceptions in subsections 3(2) and 3(3).

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 301.2 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch, directive, and generation data for WEF1 for March 11, 2022.
2. Self-report submitted by Yellow Lake & Burdett Solar LP to the MSA dated April 10, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

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|-----------|-------------------|----------------|----------------------|
| Signature | "Original Signed" | Signature Date | October 31, 2022 |
| Name | Andrew Wilkins | Title | Director, Compliance |