

NOTICE OF SPECIFIED PENALTY

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|------------------------------|---|---|---|
| Date of Issue: July 20, 2022 | | Payment Due Date: August 19, 2022 | |
| MSA File Number | 2022-085 | Specified Penalty Amount | \$500 |
| Market Participant Name | Bull Creek Wind Power Limited Partnership | | |
| Asset ID (if applicable) | BUL2 | Self-Report | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| ISO Rule Section | 201.7 | Date of Contravention | September 16, 2021 |
| Date of Referral/Self-Report | March 17, 2022 | This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period. | |

EVENT DETAILS

On September 16, 2021, during the HE 10 settlement interval, four dispatches were issued for the BUL2 asset and were not acknowledged within two minutes of receipt. Section 201.7 of the ISO rules states in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and

[...]

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 201.7 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch data for BUL2 for September 16, 2021.
2. AESO information request issued to Bull Creek Wind Power Limited Partnership, dated March 3, 2022.
3. Bull Creek Wind Power Limited Partnership's response to the AESO information request, dated March 14, 2022.
4. Referral from the AESO to the MSA dated March 17, 2022.
5. Additional information provided by Bull Creek Wind Power Limited Partnership to the MSA dated March 23, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

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|-----------|-------------------|----------------|----------------------|
| Signature | "Original Signed" | Signature Date | July 20, 2022 |
| Name | Andrew Wilkins | Title | Director, Compliance |