

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 9, 2022		Payment Due Date: July 11, 2022	
MSA File Number	2022-084	Specified Penalty Amount	\$1,500
Market Participant Name	TransAlta Generation Partnership		
Asset ID (if applicable)	KH2	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	July 28, 2021
Date of Referral/Self-Report	March 14, 2022	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

On July 28, 2021, at approximately 11:44, an energy dispatch for 105 MW was received and acknowledged for the KH2 asset, effective at 12:00 on the same date. Information available to the MSA indicates that KH2 did not meet the ramping requirements outlined in subsection 4 of section 203.4. Section 203.4 of the ISO rules states in part:

- 4(2) A pool participant must ensure that each generating source asset reaches generating asset steady state in:
- (a) no longer than the period of time calculated as follows:
 - (i) divide the change in dispatch MW by the ramp rate the pool participant submits;
 - (ii) add 40% of the time calculated in subsection 4(2)(a)(i) or 5 minutes, whichever is greater; and
 - (iii) add the 10 minutes referred to in subsection 4(1);
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FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability, dispatch, generation and offer data for KH2 for July 28, 2021.
 2. AESO information request issued to TransAlta Generation Partnership, dated January 7, 2022.
 3. TransAlta Generation Partnership's response to the AESO information request, dated February 7, 2022.
 4. Referral from the AESO to the MSA dated March 14, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	June 9, 2022
Name	Andrew Wilkins	Title	Director, Compliance