NOTICE		PENALTY
NOTICE		

NOTICE OF SPECIFIED PENALTY					
Date of Issue: March 28, 2022		Payment Due Date: April 28, 2022			
MSA File Number	2022-016	Specified Penalty Amount \$5,000	\$5,000		
Market Participant Name	Enel X Canada Ltd.		\$3,000		
Asset ID (if applicable)	ENCG	Self-Report	⊠ YES □ NO		
ISO Rule Section	205.6	Date of Contravention	December 14, 2021		
Date of Referral/Self Report	January 11, 2022	This is the fifth contravention the ISO rules within a rolling 7	by this asset for this section of 12 month period.		
	EVENT	DETAILS			
On December 14, 2021, at approximately 21:16:02, the ENCG asset received a directive to provide 25 MW of supplemental reserve. Ten minutes following the directive, the quantity of real power provided by ENCG was less than the directed amount. Section 205.6 of the ISO rules states, in part:					
6(1) A pool participant must, within ten (10) minutes following receipt of a directive to provide supplemental reserve, ensure that its pool asset is providing a quantity of real power equal to the instantaneous amount of real power of the pool asset at the time of the directive and the amount of real power set out in the directive.					
FINDINGS					
Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 205.6 of the ISO rules.					
MATERIAL FACTS					
<ul> <li>The material facts relied upon by the MSA include the following:</li> <li>1. AESO dispatch, directive, load and offer data for ENCG for December 14, 2021.</li> <li>2. Self-report submitted by Enel X Canada Ltd. to the MSA dated January 11, 2022.</li> </ul>					
DELIVERY OF PAYMENT					
Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.					
NOTICE					
The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the <i>Alberta Utilities Commission Act</i> to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.					
In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.					
In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.					
If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at <u>compliance@albertamsa.ca</u> .					
SIGNATURE					
Signature	"Original Signed"	Signature Date	March 28, 2022		
Name	Andrew Wilkins	Title	Director, Compliance		