

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 17, 2022		Payment Due Date: April 19, 2022	
MSA File Number	2022-002	Specified Penalty Amount	\$250
Market Participant Name	Powerex Corp.		
Asset ID (if applicable)	FNG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	December 16, 2021
Date of Referral/Self-Report	January 6, 2022	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	
EVENT DETAILS			
<p>On December 16, 2021, during the HE 17 settlement interval, four dispatches were issued for the FNG1 asset and were not acknowledged within two minutes of receipt. Section 201.7 of the ISO rules states in part:</p> <p style="margin-left: 40px;">5 A pool participant must acknowledge receipt of a dispatch:</p> <p style="margin-left: 80px;">(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:</p> <p style="margin-left: 120px;">(i) within two (2) minutes for an intra-Alberta transaction; and</p> <p style="margin-left: 120px;">[...]</p>			
FINDINGS			
Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 201.7 of the ISO rules.			
MATERIAL FACTS			
<p>The material facts relied upon by the MSA include the following:</p> <ol style="list-style-type: none"> 1. AESO dispatch data for FNG1 for December 16, 2021. 2. Self-report submitted by Powerex Corp. to the MSA dated January 6, 2022. 			
DELIVERY OF PAYMENT			
<p>Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.</p>			
NOTICE			
<p>The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the <i>Alberta Utilities Commission Act</i> to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.</p> <p>In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.</p> <p>In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.</p> <p>If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.</p>			
SIGNATURE			
Signature	"Original Signed"	Signature Date	March 17, 2022
Name	Andrew Wilkins	Title	Director, Compliance