

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 31, 2022		Payment Due Date: May 2, 2022	
MSA File Number	2021-341	Specified Penalty Amount	\$1,500
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BIG	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	February 2, 2021
Date of Referral/Self Report	September 23, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On February 2, 2021, from approximately 02:52 to 04:00, the BIG asset operated outside of its allowable dispatch variance (ADV), and varied the average MW it delivered outside ADV in seven 10 minute clock periods. In addition, at approximately 03:41, the BIG asset received an energy dispatch for 13 MW effective at 04:00. Information available to the MSA indicates that BIG did not meet the ramping requirements outlined in subsection 4 of section 203.4 of the ISO rules. Section 203.4 of the ISO rules states, in part:

3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a generating source asset in any 10 minute clock period outside the allowable dispatch variance.

4(1) A pool participant must move the output of a generating source asset which is:

(a) the subject of a dispatch; and

(b) ramping towards the MW level indicated in that dispatch within 10 minutes of the time specified in the dispatch but not prior to the time specified in the dispatch.

(2) A pool participant must ensure that each generating source asset reaches generating asset steady state in:

(a) no longer than the period of time calculated as follows:

(i) divide the change in dispatch MW by the ramp rate the pool participant submits;

(ii) add 40% of the time calculated in subsection 4(2)(a)(i) or 5 minutes, whichever is greater; and

(iii) add the 10 minutes referred to in subsection 4(1);

[...]

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for BIG for February 2, 2021.
2. AESO information request issued to TA Alberta Hydro LP, dated August 12, 2021.
3. Referral from the AESO to the MSA dated September 23, 2021.
4. Additional information provided by TA Alberta Hydro LP to the MSA dated March 22, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	March 31, 2022
Name	Andrew Wilkins	Title	Director, Compliance