

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 9, 2021		Payment Due Date: January 14, 2022	
MSA File Number	2021-330	Specified Penalty Amount	\$250
Market Participant Name	Suncor Energy Inc.		
Asset ID (if applicable)		Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	306.4	Date of Contravention	August 9, 2021
Date of Referral/Self Report	September 9, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On August 9, 2021, by 10:00, Suncor Energy Inc. had not submitted to the ISO changes to a previously submitted planned outage request scheduled to impact August 10, 2021. On August 11, 2021, at approximately 8:27, Suncor Energy Inc. notified the ISO of the change in schedule by phone, followed by an email sent at 16:14. Section 306.4 of the ISO rules states, in part:

4(1) The legal owner of a transmission facility must submit to the ISO any changes to a previously submitted planned outage request, including cancellations, as soon as possible, and no later than 10:00 am on the business day before the first day impacted by the intended change to the previously submitted planned outage request.

(2) The legal owner of a transmission facility must, if it is unable to comply with subsection 4(1), submit to the ISO a cancellation of a planned outage request as soon as possible after the deadline set out in subsection 4(1), and provide a reason as to why it was unable to submit the cancellation by that deadline.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 306.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO approved outage data for August 2021.
2. Self-report submitted by Suncor Energy Inc. to the MSA dated September 9, 2021.
3. Additional information provided by Suncor Energy Inc. to the MSA dated November 29, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	December 9, 2021
Name	Andrew Wilkins	Title	Director, Compliance