

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 28, 2022		Payment Due Date: February 28, 2022	
MSA File Number	2021-321	Specified Penalty Amount	\$500
Market Participant Name	Claresholm Solar LP		
Asset ID (if applicable)	CLR1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	502.8	Date of Contravention	May 20, 2021
Date of Referral/Self Report	August 25, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

Information available to the MSA indicates that on May 20, 2021, Claresholm Solar LP did not meet the supervisory control and data acquisition data requirements for potential real power capability applicable to the CLR1 asset. Section 502.8 of the ISO rules states, in part:

5(2) The legal owner of a wind or solar aggregated generating facility must meet the supervisory control and data acquisition data requirements set out in Appendix 2, *Supervisory Control and Data Acquisition Data Requirements for Wind or Solar Aggregated Generating Facilities*.

Appendix 2 of Section 502.8 of the ISO rules states, in part:

Potential real power capability, where potential real power capability is the real power that would have been produced at the point of connection without aggregated generating facilities curtailment and based on real time meteorological conditions.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 502.8 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, potential real power capability, and generation data for CLR1 for May 20, 2021.
2. AESO information request issued to Claresholm Solar LP, dated June 25, 2021.
3. Claresholm Solar LP's response to the AESO information request, dated July 27, 2021.
4. Referral from the AESO to the MSA dated August 25, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	January 28, 2022
Name	Andrew Wilkins	Title	Director, Compliance