

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 20, 2021		Payment Due Date: November 22, 2021	
MSA File Number	2021-281	Specified Penalty Amount	\$250
Market Participant Name	TransAlta Generation Partnership		
Asset ID (if applicable)	SD6	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	June 23, 2021
Date of Referral/Self Report	July 19, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On June 23, 2021, at approximately 10:02, the SD6 asset received an energy dispatch for 200 MW effective at 10:04 on the same date. Information available to the MSA indicates that SD6 did not meet ramping the requirements outlined in subsection 4 of section 203.4 of the ISO rules. Section 203.4 of the ISO rules states in part:

4(1) A pool participant must move the output of a generating source asset which is:

- (a) the subject of a dispatch; and
- (b) ramping

towards the MW level indicated in that dispatch within 10 minutes of the time specified in the dispatch but not prior to the time specified in the dispatch.

(2) A pool participant must ensure that each generating source asset reaches generating asset steady state in:

- (a) no longer than the period of time calculated as follows:
 - (i) divide the change in dispatch MW by the ramp rate the pool participant submits;
 - (ii) add 40% of the time calculated in subsection 4(2)(a)(i) or 5 minutes, whichever is greater; and
 - (iii) add the 10 minutes referred to in subsection 4(1);

[...]

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for SD6 for June 23, 2021.
2. Self-report submitted by TransAlta Generation Partnership to the MSA dated July 19, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	October 20, 2021
Name	Andrew Wilkins	Title	Director, Compliance