

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 29, 2021		Payment Due Date: November 29, 2021	
MSA File Number	2021-252	Specified Penalty Amount	\$1,500
Market Participant Name	Grande Prairie Generation Inc.		
Asset ID (if applicable)	NPP1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	December 10, 2020
Date of Referral/Self Report	June 22, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On December 10, 2020, at approximately 04:48:32, a MW offer restatement was submitted for the NPP1 asset within two hours of the start of the settlement intervals HE 05–07 redistributing the MWs of the offer blocks. Information available to the MSA indicates that this restatement was not the result of an acceptable operational reason. Section 203.3 of the ISO rules states in part:

4(2) A pool participant that submits an offer must submit a MW restatement redistributing the MW to represent the operating state of the source asset, as soon as reasonably practicable, if the source asset cannot comply with the current offer as a result of:

(a) either

(i) an acceptable operational reason; or

(ii) an operational deviation and such restatement is required under subsection 5(3) of section 203.4 of the ISO rules, Delivery Requirements for Energy; and an available capability restatement under subsection 2 cannot reasonably accommodate the source asset's operating state; or

(b) carrying out either one (1) or both of commissioning and testing under section 505.3 of the ISO rules, Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing or under section 505.4 of the ISO rules, Coordinating Operational Testing.

(3) A pool participant must submit the reason or reasons for submitting a MW restatement in accordance with subsection 4(2)(a) if such MW restatement is submitted within two (2) hours of the start of the settlement interval or within the current settlement interval.

[...]

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.3 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, minimum stable generation, dispatch, generation and offer data for NPP1 for December 10, 2020.
2. AESO information request issued to Grande Prairie Generation Inc., dated April 28, 2021.
3. Exelon Generation Company, LLC's response, on behalf of Grande Prairie Generation Inc., to the AESO information request, dated May 12, 2021.
4. Referral from the AESO to the MSA dated June 22, 2021.
5. Additional information provided by Exelon Generation Company, LLC, on behalf of Grande Prairie Generation Inc., to the MSA dated October 26, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	October 29, 2021
Name	Andrew Wilkins	Title	Director, Compliance