

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 24, 2021		Payment Due Date: September 24, 2021	
MSA File Number	2021-209	Specified Penalty Amount	\$750
Market Participant Name	Northstone Power Corp.		
Asset ID (if applicable)	NPC2	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	May 22 to May 25, 2021
Date of Referral/Self Report	May 25, 2021	This is the second contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On May 22 to May 25, 2021, the declared available capability (AC) of the NPC2 generating unit was 0 MW for the HE 08 through HE 23 settlement intervals of each day, until approximately 09:44 on May 25, when the AC was restated to 9 MW. However, generation greater than 0 MW was recorded during the HE 08 through HE 23 settlement intervals of each day, and accordingly the AC was not restated as soon as reasonably practicable. Section 203.3 of the ISO rules states, in part:

2(2) A pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of any of the circumstances outlined in subsections 2(1)(a), (b) or (c), submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.3 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, offer, dispatch and generation data for NPC2 for May 22 to May 25, 2021.
2. Self-report submitted by Northstone Power Corp. to the MSA dated May 25, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	August 23, 2021
Name	Andrew Wilkins	Title	Director, Compliance