

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 18, 2021		Payment Due Date: June 17, 2021	
MSA File Number	2021-082	Specified Penalty Amount	\$1,500
Market Participant Name	DAPP Power L.P.		
Asset ID (if applicable)	WST1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	October 13, 2020
Date of Referral/Self Report	February 20, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On October 13, 2020, during the HE 13 settlement interval, the WST1 generating asset was under a dispatch for 13 MW of energy. At approximately 12:19, WST1 experienced an operational deviation, and generation had declined to 0 MW by 12:20. At approximately 13:08, the asset began to deliver energy to the interconnected electric system, though generation remained below its allowable dispatch variance until approximately 13:36. For the duration of the operational deviation event, no available capability (AC) restatement was submitted until 13:36:17, which changed the declared AC of WST1 from 13 MW to 11 MW for HE 14. Section 203.4 of the ISO rules states, in part:

5(3) A pool participant must, if an operational deviation extends for twenty (20) minutes or longer, submit an available capability restatement or MW restatement for the generating source asset that represents the operational capability of the generating source asset and must do so no later than twenty (20) minutes after the commencement of the operational deviation.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for WST1 for October 13, 2020.
2. AESO information request issued to DAPP Power L.P., dated January 14, 2021.
3. DAPP Power L.P.'s response to the AESO information request, dated January 29, 2021.
4. Referral from the AESO to the MSA dated February 20, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	May 18, 2021
Name	Andrew Wilkins	Title	Director, Compliance