

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 18, 2021		Payment Due Date: June 17, 2021	
MSA File Number	2021-081	Specified Penalty Amount	\$500
Market Participant Name	Campus Energy Partners LP		
Asset ID (if applicable)	ALP1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.3	Date of Contravention	October 27, 2020
Date of Referral/Self Report	February 20, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On October 25, 2020 at 17:12:55, an available capability (AC) restatement was submitted for the ALP1 generating asset, which changed the declared AC from 7 MW to 3 MW for October 27 HE 01 through HE 24. During the morning of October 26, offers were made for ALP1 to provide active supplemental reserve supplied by generation (SUPG) for October 27 HE 01 through HE 07 and HE 24; subsequently, the asset was contracted to provide 5 MW of SUPG for these settlement intervals. At 23:01:03 an operating reserve substitution was made, with 1 MW of SUPG distributed to the ALP2 asset for the HE 02 to 07 and HE 24 settlement intervals, and at 23:44:23, a subsequent substitution was made for the same period and volume. At 23:44:44, ALP1 was dispatched to provide 5 MW of SUPG beginning at 00:00 on October 27. Shortly afterwards, at 23:45:45, an operating reserve restatement was made for ALP1, which changed the volume from 5 MW to 3 MW for the HE 01 settlement interval. Information available to the MSA indicates that the operating reserve substitutions did not result in a minimum of 5 MW of operating reserve for each pool asset, and that the operating reserve restatement was not made as soon as reasonably practicable and was not restated to zero or to no less than 5 MW. Section 205.3 states, in part:

- 3(4) A pool participant that submits an offer must, as soon as reasonably practicable, submit a restatement to represent the operating state of the pool asset if:
- (a) the pool asset is no longer able to deliver the MW set out in the offer; or
 - (b) the pool participant is not able to meet the requirements set out in Section 205.4 of the ISO rules, Regulating Reserve Technical Requirements and Performance Standards, Section 205.5 of the ISO rules, Spinning Reserve Technical Requirements and Performance Standards or Section 205.6 of the ISO rules, Supplemental Reserve Technical Requirements and Performance Standards, as applicable.
- (5) A pool participant that submits a restatement must restate to zero (0) or to no less than five (5) MW for each pool asset.
- 4 A pool participant may substitute one (1) or more pool assets to provide operating reserve for another pool asset, if:
- (d) such substitutions result in a minimum of five (5) MW of operating reserve for each pool asset.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 205.3 of the ISO rules.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability, dispatch, and offer data for ALP1 for October 27, 2020.
 2. AESO information request issued to Campus Energy Partners LP, dated January 19, 2021.
 3. Campus Energy Partners LP's response to the AESO information request, dated January 27, 2021.
 4. Referral from the AESO to the MSA dated February 20, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	May 18, 2021
Name	Andrew Wilkins	Title	Director, Compliance