

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 16, 2021		Payment Due Date: May 17, 2021	
MSA File Number	2021-027	Specified Penalty Amount	\$1,500
Market Participant Name	Northstone Power Corp.		
Asset ID (if applicable)	NPC2	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	July 20, 2020
Date of Referral/Self Report	January 26, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On July 20, 2020, the declared available capability (AC) of the NPC2 generating unit was 0 MW for the HE 01 to HE 24 settlement intervals, which had been made on July 16 as a result of an ongoing outage. At approximately 20:12 on July 20, the NPC2 asset began to vary its generation from its dispatch level of 0 MW by more than its allowable dispatch variance until approximately 22:10; however, a change in the declared AC of the NPC2 asset did not occur until the beginning of the next day. Information available to the MSA indicates that an AC restatement was not made as soon as reasonably practicable. Section 203.3 of the ISO rules states in part:

2(2) A pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of any of the circumstances outlined in subsections 2(1)(a), (b) or (c), submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.3 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch and generation data for NPC2 for July 20, 2020.
2. AESO information request issued to Northstone Power Corp., dated November 26, 2020.
3. Northstone Power Corp.'s response to the AESO information request, dated December 4, 2020.
4. Referral from the AESO to the MSA dated January 26, 2021.
5. Additional information provided by Northstone Power Corp. to the MSA dated January 26, 2021.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	April 16, 2021
Name	Andrew Wilkins	Title	Director, Compliance