

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 29, 2021		Payment Due Date: March 1, 2021	
MSA File Number	2020-364	Specified Penalty Amount	\$750
Market Participant Name	Capital Power (Whitla) L.P.		
Asset ID (if applicable)	WHT1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	May 19, 2020
Date of Referral/Self Report	October 30, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On May 19, 2020, at approximately 07:45, the WHT1 generating asset accepted an advanced energy dispatch to 0MW, effective at 08:00. Information available to the MSA indicates that WHT1 did not begin moving its output to the new dispatch level until approximately 09:00. As a result, the WHT1 generating asset did not meet ramping requirements set out in section 203.4 of the ISO rules, which states, in part:

- 4(1) A pool participant must move the output of a generating source asset which is:
- (a) the subject of a dispatch; and
 - (b) ramping towards the MW level indicated in that dispatch within 10 minutes of the time specified in the dispatch but not prior to the time specified in the dispatch.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability, dispatch, and generation data for WHT1 for May 19, 2020.
 2. Self-report submitted by Capital Power (Whitla) L.P. to the MSA dated October 30, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	January 29, 2021
Name	Andrew Wilkins	Title	Director, Compliance