

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 15, 2020		Payment Due Date: January 20, 2021	
MSA File Number	2020-252	Specified Penalty Amount	\$250
Market Participant Name	Capital Power (G3) Limited Partnership		
Asset ID (if applicable)	GN3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.1	Date of Contravention	June 12, 2020
Date of Referral/Self Report	August 10, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On June 12, 2020, the GN3 trader submitted offers for GN3 for spinning reserve for the delivery dates of June 13, 14 and 15, 2020. Information available to the MSA indicates that GN3 was not qualified to provide spinning reserve at the time of the contravention. Section 205.1 of the ISO rules states in part:

2(1) A pool participant may only submit an offer for operating reserve in respect to an active pool asset or an approved virtual asset for which they have authority to submit offers for operating reserve.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 205.1 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Watt-Ex offer data for GN3 submitted on June 12, 2020 for delivery on June 13, 14, and 15, 2020.
2. Self-report submitted by Capital Power (G3) Limited Partnership to the MSA dated August 10, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	December 15, 2020
Name	Andrew Wilkins	Title	Director, Compliance