

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 25, 2020		Payment Due Date: January 4, 2021	
MSA File Number	2020-229	<b>Specified Penalty Amount</b>	<b>\$1,500</b>
Market Participant Name	WCSB GP III Ltd.		
Asset ID (if applicable)	WCD1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	February 18, 2020
Date of Referral/Self Report	July 22, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

### EVENT DETAILS

On February 18, 2020, at approximately 15:46, the WCD1 generating asset made an available capability (AC) restatement from 20 MW to 8 MW for the HE 16 settlement interval as a result of an operational deviation and was subsequently dispatched to 8 MW. Soon afterward, WCD1 began ramping and from approximately 15:56 to 16:47, the generating asset was outside of its allowable dispatch variance (ADV), and varied the average MW it delivered by more than its ADV in four 10 minute clock periods. Section 203.4 of the ISO rules states, in part:

3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a generating source asset in any 10 minute clock period outside the allowable dispatch variance.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for WCD1 for February 18, 2020.
2. AESO information request issued to WCSB GP III Ltd., dated June 30, 2020.
3. URICA Energy Real Time Ltd.'s response to the AESO information request submitted on behalf of WCSB GP III Ltd., dated July 6, 2020.
4. Referral from the AESO to the MSA dated July 22, 2020.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca). Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature	"Original Signed"	Signature Date	November 25, 2020
Name	Andrew Wilkins	Title	Director, Compliance