

## NOTICE OF SPECIFIED PENALTY

Date of Issue: September 24, 2020		Payment Due Date: October 26, 2020	
MSA File Number	2020-207	<b>Specified Penalty Amount</b>	<b>\$500</b>
Market Participant Name	Bitfury Technology Inc.		
Asset ID (if applicable)	BFDH	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.3	Date of Contravention	December 16, 2019
Date of Referral/Self Report	July 15, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

### EVENT DETAILS

On December 16, 2019, the load asset BFDH was dispatched to provide 33 MW of supplemental reserve (SUP) beginning at 07:00. Between approximately 16:16 and 17:09, information available to the MSA indicates BFDH was no longer able to deliver the MW set out in the offer, and did not submit a restatement for SUP to represent the operating state of the pool asset as soon as reasonably practicable. A SUP restatement was submitted at approximately 17:09 changing the SUP offer from 33 MW to 0 MW and impacting HE 18. Section 205.3 of the ISO rules states in part:

3(4) A pool participant that submits an offer must, as soon as reasonably practicable, submit a restatement to represent the operating state of the pool asset if:

- (a) the pool asset is no longer able to deliver the MW set out in the offer; or
- (b) the pool participant is not able to meet the requirements set out in Section 205.4 of the ISO rules, Regulating Reserve Technical Requirements and Performance Standards, Section 205.5 of the ISO rules, Spinning Reserve Technical Requirements and Performance Standards or Section 205.6 of the ISO rules, Supplemental Reserve Technical Requirements and Performance Standards, as applicable.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 205.3 of the ISO rules.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch, load and offer data for BFDH for December 16, 2019.
2. AESO information request issued to Bitfury Technology Inc., dated May 28, 2020.
3. URICA Energy Real Time Ltd.'s response (acting as the agent for BFDH) to the AESO information request, dated June 19, 2020.
4. Referral from the AESO to the MSA dated July 15, 2020.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca). Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**SIGNATURE**

Signature	"Original Signed"	Signature Date	September 24, 2020
Name	Andrew Wilkins	Title	Director, Compliance