

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 21, 2020		Payment Due Date: October 22, 2020	
MSA File Number	2020-200	Specified Penalty Amount	\$1,500
Market Participant Name	The Manitoba Hydro-Electric Board		
Asset ID (if applicable)	MASK	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.6	Date of Contravention	January 18, 2020
Date of Referral/Self Report	July 10, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On January 18, 2020, during some of the HE 13 through HE 22 settlement intervals, a discrepancy of 1, 9 or 10 MW occurred between the declared available capability of the import asset MASK and the associated e-tag import volumes. Section 203.6 of the ISO rules states in part:

- 6(3) A pool participant must submit one (1) or more e-tags for an energy interchange transaction such that the final total amount in MW agrees with the available capability of the single source asset:
- (a) as stated two (2) hours prior to the start of the settlement interval; or
 - (b) as may be restated in accordance with the provisions of this section 203.6, but in any event the final total amount in MW must not exceed the available capability of the single source asset as stated at two (2) hours prior to the start of the settlement interval.
- (4) If:
- (a) the pool participant is unable to procure transmission service, or the transmission service is curtailed by any transmission service provider or the ISO, as referenced under subsection 5(3); or
 - (b) there is any other change in the available capability for the sink asset or the source asset, as applicable;
- then the pool participant must submit, as applicable:
- (i) an energy restatement in accordance with either subsection 3.5.3.2 or subsection 3.5.4.2 of the ISO rules, Mandatory Energy Restatements; or
 - (ii) an ancillary services restatement in accordance with subsection 3.6.3 of the ISO rules, Restatements.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.6 of the ISO rules.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability, offer and e-tag data for MASK for January 18, 2020.
 2. AESO information request issued to The Manitoba Hydro-Electric Board, dated June 3, 2020.
 3. The Manitoba Hydro-Electric Board's response to the AESO information request, dated June 19, 2020.
 4. Referral from the AESO to the MSA dated July 10, 2020.
 5. Additional information provided by The Manitoba Hydro-Electric Board to the MSA dated July 17, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	September 21, 2020
Name	Andrew Wilkins	Title	Director, Compliance