

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 28, 2020		Payment Due Date: August 28, 2020	
MSA File Number	2020-127	Specified Penalty Amount	\$1,500
Market Participant Name	Repsol Canada Energy Partnership		
Asset ID (if applicable)	TLM2	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	September 15 and 16, 2019
Date of Referral/Self Report	May 12, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On September 15, 2019, at 00:17:32, Repsol Canada Energy Partnership submitted an available capability restatement for the TLM2 asset to 5 MW, affecting HE 01 through HE 24 of September 15, 2019. On September 16, 2019, at 23:59:50, Repsol Canada Energy Partnership submitted an available capability restatement to 5 MW, affecting HE 01 through HE 24 of September 17, 2019. Information available to the MSA indicates that these restatements were not submitted as soon as reasonable practicable. Section 203.3 of the ISO rules states in part:

2(2) A pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of any of the circumstances outlined in subsections 2(1)(a), (b) or (c), submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.3 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for TLM2 for September, 2019.
2. AESO information request issued to Repsol Canada Energy Partnership, dated February 25, 2020.
3. Repsol Canada Energy Partnership's response to the AESO information request, dated April 7, 2020.
4. Referral from the AESO to the MSA dated May 12, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	July 28, 2020
Name	Andrew Wilkins	Title	Director, Compliance