NOTICE OF SPECIFIED PENALTY				
Date of Issue: June 15, 2020		Payment Due Date: July 16, 2020		
MSA File Number	2020-094	Specified Penalty Amount	\$5,000	
Registered Entity Name	Milner Power Limited Partnership by its General Partner Milner Power Inc.			
Asset ID (if applicable)	HRM	Self-Report	□ YES ⊠ NO	
ISO Rule Section	203.4	Date of Contravention	August 26, 2019	
Date of Referral/Self Report	April 2, 2020	This is the third contravention by this asset for this section of the ISO rules within a rolling 12 month period.		

EVENT DETAILS

On August 26, 2019, during the HE 15 settlement interval, the HRM generating asset was dispatched for 82 MW of energy. At approximately 14:11, HRM experienced an operational deviation, and at approximately 14:12 generation declined to 0 MW. Available capability (AC) restatements changing the AC from 82 MW to 0 MW for the HE 19–24 settlement intervals were not submitted until 15:59:11. The AC for settlement intervals HE 15–18 was 82 MW while generation was approximately 0 MW. Section 203.4 of the ISO rules states in part:

5(3) A pool participant must, if an operational deviation extends for twenty (20) minutes or longer, submit an available capability restatement or MW restatement for the generating source asset that represents the operational capability of the generating source asset and must do so no later than twenty (20) minutes after the commencement of the operational deviation.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

- 1. AESO available capability, dispatch, generation and offer data for HRM for August 26, 2019.
- 2. AESO information request issued to Milner Power Limited Partnership by its General Partner Milner Power Inc., dated January 14, 2020.
- 3. Milner Power Limited Partnership by its General Partner Milner Power Inc.'s response to the AESO information request, dated January 29, 2020.
- 4. Referral from the AESO to the MSA dated April 2, 2020.
- 5. Additional information provided by Milner Power Limited Partnership by its General Partner Milner Power Inc. to the MSA dated April 15, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE				
Signature	"Original Signed"	Signature Date	June 15, 2020	
Name	Andrew Wilkins	Title	Director, Compliance	