

NOTICE OF SPECIFIED PENALTY

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| Date of Issue: June 5, 2020 | | Payment Due Date: July 6, 2020 | |
| MSA File Number | 2020-089 | Specified Penalty Amount | \$1,500 |
| Registered Entity Name | Oldman 2 Wind Farm Limited | | |
| Asset ID (if applicable) | SCR4 | Self-Report | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| ISO Rule Section | 306.5 | Date of Contravention | July 8 through July 22, 2019 |
| Date of Referral/Self Report | March 30, 2020 | This is the second contravention by this asset for this section of the ISO rules within a rolling 12 month period. | |

EVENT DETAILS

On July 8, 2019, at 07:00, the available capability of the SCR4 was declared to be 0 MW, in accordance with the start of a scheduled outage. At approximately 07:38, Oldman 2 Wind Farm Limited submitted a restatement increasing the available capability of SCR4 to 46 MW effective July 8 HE 10 through HE 24. Subsequently, Oldman 2 Wind Farm Limited submitted several restatements increasing the available capability of SCR4 from 0 MW to between 43 and 46 MW for settlement intervals from July 8 through July 22, 2019. Information available to the MSA indicates that these revisions to outage information submitted to the ISO were not submitted as soon as reasonably practical. Section 306.5 of the ISO rules states in part:

- 3(3) A pool participant must, with respect to:
- (a) any revisions to the information submitted to the ISO under subsection 3(1); or
 - (a) a planned outage that is not included in the submission set out in subsection 3(2);
- submit such information or planned outage as soon as reasonably practical.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 306.5 of the ISO rules.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. AESO available capability, dispatch, generation and offer data for SCR4 for July, 2019.
 2. AESO information request issued to Oldman 2 Wind Farm Limited, dated January 28, 2020.
 3. Oldman 2 Wind Farm Limited's response to the AESO information request, dated February 12, 2020.
 4. Referral from the AESO to the MSA dated March 30, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

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| Signature | "Original Signed" | Signature Date | June 5, 2020 |
| Name | Andrew Wilkins | Title | Director, Compliance |