

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 5, 2020		Payment Due Date: July 6, 2020	
MSA File Number	2020-088	Specified Penalty Amount	\$500
Registered Entity Name	Oldman 2 Wind Farm Limited		
Asset ID (if applicable)	SCR4	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	306.5	Date of Contravention	May 7, 2019
Date of Referral/Self Report	March 30, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On May 7, 2019, at 15:34, Oldman 2 Wind Farm Limited submitted a planned outage for the SCR4 asset, with the reason "5-year major substation maintenance" and an outage start date of July 8, 2019. Information available to the MSA indicates that Oldman 2 Wind Farm Limited did not include a statement setting out the reasons that the information varied from, or was not included in, previous submissions. Section 306.5 of the ISO rules states in part:

3(4) A pool participant must, if information submitted under subsection 3(3) is submitted later than three (3) months prior to the day the planned outage is to start, include a statement in its submission setting out the reasons that the information varies from the original subsection 3(1) submission or was not included in the submission set out in subsection 3(2).

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 306.5 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for SCR4 for May 7, 2019.
2. AESO information request issued to Oldman 2 Wind Farm Limited, dated January 28, 2020.
3. Oldman 2 Wind Farm Limited's response to the AESO information request, dated February 12, 2020.
4. Referral from the AESO to the MSA dated March 30, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	June 5, 2020
Name	Andrew Wilkins	Title	Director, Compliance