

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 20, 2020		Payment Due Date: June 19, 2020	
MSA File Number	2020-079	Specified Penalty Amount	\$1,500
Registered Entity Name	WCSB GP III Ltd.		
Asset ID (if applicable)	WCD1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	September 30, 2019
Date of Referral/Self Report	March 27, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On September 30, 2019, at approximately 11:42:00, the WCD1 generating asset's generation decreased to 0 MW. The available capability (AC) was restated from 20 MW to 0 MW at approximately 11:46:56 impacting the HE 12 and 13 settlement intervals. A dispatch for 0 MW was received and accepted effective at 11:50:00. Beginning at approximately 11:46:00, generation increased above 0 MW and by approximately 11:56:00 WCD1's generation had increased above the allowable dispatch variance (ADV) and persisted above the ADV for the remainder of HE 12 and into the HE 13 settlement interval. An AC restatement was submitted at approximately 12:46:47 changing the AC from 0 MW to 20 MW impacting the HE 13 settlement interval. Information available to the MSA indicates this AC restatement was not submitted as soon as reasonably practicable. Section 203.3 of the ISO rules states in part:

2(1) A pool participant must only submit an available capability restatement revising the available capability of a source asset if such revision is:

- (a) as a result of an acceptable operational reason;
- (b) in relation to an operational deviation and required under subsection 5(3) of section 203.4 of the ISO rules, Delivery Requirements for Energy; or
- (c) in order to reflect the output of the source asset which is restricted during either one (1) or both of commissioning and testing under section 505.3 of the ISO rules, Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing or under section 505.4 of the ISO rules, Coordinating Operational Testing.

(2) A pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of any of the circumstances outlined in subsections 2(1)(a), (b) or (c), submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

[...]

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.3 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for WCD1 for September 30, 2019.
2. AESO information request issued to WCSB GP III Ltd., dated January 28, 2020.
3. URICA Energy Real Time Ltd.'s response to the AESO information request submitted on behalf of WCSB GP III Ltd., dated February 25, 2020.
4. Referral from the AESO to the MSA dated March 27, 2020.
5. Additional information provided by URICA Energy Real Time Ltd. on behalf of WCSB GP III Ltd. to the MSA dated April 3, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	May 20, 2020
Name	Andrew Wilkins	Title	Director, Compliance