

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 29, 2020		Payment Due Date: May 29, 2020	
MSA File Number	2020-071	Specified Penalty Amount	\$500
Registered Entity Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	201.4	Date of Contravention	September 24, 2019
Date of Referral/Self Report	March 19, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On August 22, 2019, Air Liquide Canada Inc. submitted available capability restatements for the ALS1 asset, to 0 MW, affecting September 25, 2019 HE 01 through September 26, 2019, HE 12. On September 24, 2019, offers were made for ALS1 to provide supplemental reserve for HE 8 through HE 23 on September 25, 2019. Information available to the MSA indicates that ALS1 would not have been able to comply with dispatches related to the September 24 submissions. Section 201.4 of the ISO rules states in part:

A pool participant must coordinate its submissions in a manner that ensures the pool participant is able to comply with all dispatches related to those submissions.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 201.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for ALS1 for September 24, 2019.
2. AESO information request issued to Air Liquide Canada Inc., dated January 28, 2020.
3. Air Liquide Canada Inc.'s response to the AESO information request, dated January 29, 2020.
4. Referral from the AESO to the MSA dated March 19, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	April 29, 2020
Name	Andrew Wilkins	Title	Director, Compliance