

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 29, 2020		Payment Due Date: May 29, 2020	
MSA File Number	2020-006	Specified Penalty Amount	\$750
Registered Entity Name	TransAlta Generation Partnership		
Asset ID (if applicable)	KH3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	January 15, 2020
Date of Referral/Self Report	January 16, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	
EVENT DETAILS			
<p>On January 15, 2020, at approximately 16:05, TransAlta Generation Partnership submitted an available capability restatement in relation to its KH3 asset, to 450 MW. At approximately 16:07, KH3 received and accepted an energy dispatch for 450 MW. During a period spanning from approximately 16:10 through 17:39, KH3 varied the average MW it delivered by more than its allowable dispatch variance. Section 203.4 of the ISO rules states in part:</p> <p style="padding-left: 40px;">3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a generating source asset in any 10 minute clock period from the dispatch MW by more than the allowable dispatch variance. [...]</p>			
FINDINGS			
Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.			
MATERIAL FACTS			
<p>The material facts relied upon by the MSA include the following:</p> <ol style="list-style-type: none"> 1. AESO available capability, dispatch, generation and offer data for KH3 for January 15, 2020. 2. Self-report submitted by TransAlta Generation Partnership to the MSA dated January 16, 2020. 			
DELIVERY OF PAYMENT			
<p>Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.</p>			
NOTICE			
<p>The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the <i>Alberta Utilities Commission Act</i> to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.</p> <p>In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.</p> <p>In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.</p> <p>If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.</p>			
SIGNATURE			
Signature	"Original Signed"	Signature Date	April 29, 2020
Name	Andrew Wilkins	Title	Director, Compliance