

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 23, 2020		Payment Due Date: April 24, 2020	
MSA File Number	2019-568	Specified Penalty Amount	\$750
Registered Entity Name	NorthPoint Energy Solutions Inc.		
Asset ID (if applicable)	SPMT	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.6	Date of Contravention	December 6 through 7, 2019
Date of Referral/Self Report	December 30, 2019	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

On December 6, 2019, NorthPoint Energy Solutions Inc. scheduled an energy interchange transaction to import 141 MW of energy for HE 01 through HE 24, on December 7, 2019 from the SPSK asset. On December 6, 2019, NorthPoint Energy Solutions Inc. submitted available capability restatements from 0 MW to 141 MW for HE 01 through HE 24, for both the SPMT asset at 12:01 and for the SPSK asset at 12:35. Information available to the MSA indicates that NorthPoint Energy Solutions Inc. did not submit a correcting available capability restatement for SPMT. Accordingly, NorthPoint Energy Solutions Inc. did not make a submission through the Energy Trading System, as applicable, to satisfy the requirements of section 203.6 of the ISO rules. Section 203.6 of the ISO rules states, in part:

5(1) Notwithstanding subsection 3.5.2 of the ISO rules, *Submission Timing*, a pool participant with an import or export energy interchange transaction must submit through the Energy Trading System the import offer or export bid for the interchange transaction, as applicable, no later than two (2) hours prior to the start of the settlement interval in order for the interchange transaction to be included in the energy market merit order.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.6 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data, for SPMT for December 6 through 7, 2019.
2. Self-report submitted by NorthPoint Energy Solutions Inc. to the MSA dated December 30, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	March 23, 2020
Name	Andrew Wilkins	Title	Director, Compliance