

NOTICE OF SPECIFIED PENALTY

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| Date of Issue: March 24, 2020 | | Payment Due Date: April 27, 2020 | |
| MSA File Number | 2019-562 | Specified Penalty Amount | \$ 250 |
| Registered Entity Name | ENMAX Power Corporation | | |
| Asset ID (if applicable) | | Self-Report | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| ISO Rule Section | 306.4 | Date of Contravention | December 6, 2019 |
| Date of Referral/Self Report | December 18, 2019 | This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period. | |

EVENT DETAILS

On December 6, 2019, by 10:00, ENMAX Power Corporation had not submitted to the ISO changes to a previously submitted planned transmission outage request, scheduled to impact December 7, 2019. On December 7, 2019, at approximately 15:30, the ISO contacted ENMAX Power Corporation and ENMAX Power Corporation advised that the outage was cancelled. Section 306.4 of the ISO rules states, in part:

4(1) The legal owner of a transmission facility must submit to the ISO any changes to a previously submitted planned outage request, including cancellations, as soon as possible, and no later than 10:00 am on the business day before the first day impacted by the intended change to the previously submitted planned outage request.

(2) The legal owner of a transmission facility must, if it is unable to comply with subsection 4(1), submit to the ISO a cancellation of a planned outage request as soon as possible after the deadline set out in subsection 4(1), and provide a reason as to why it was unable to submit the cancellation by that deadline.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 306.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO approved outage data for December 2019.
2. Self-report submitted by ENMAX Power Corporation to the MSA dated December 18, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

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|-----------|-------------------|----------------|----------------------|
| Signature | "Original Signed" | Signature Date | March 24, 2020 |
| Name | Andrew Wilkins | Title | Director, Compliance |