NOTICE OF SPECIFIED PENALTY				
Date of Issue: April 29, 2020		Payment Due Date: May 29, 2020		
MSA File Number	2019-559	Specified Penalty Amount	\$250	
Registered Entity Name	AltaLink L.P., by its general partner, AltaLink Management Ltd.			
Asset ID (if applicable)		Self-Report	⊠ YES □ NO	
ISO Rule Section	306.4	Date of Contravention	November 19, 2019	
Date of Referral/Self Report	December 18, 2019	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.		

EVENT DETAILS

On November 19, 2019, AltaLink executed a replacement of revenue meters, related to the 1201L transmission system asset. This work project disrupted the AESO's visibility of certain SCADA information. Information available to the MSA indicates that prior to the replacement of the revenue meters AltaLink L.P., by its general partner, AltaLink Management Ltd. had not, by 12:00 on the Tuesday of the week before the operating week in which the non-significant planned outage is intended to occur, sufficiently coordinated the details of this outage with the ISO. Section 306.4 of the ISO rules states in part:

- 3(4) The legal owner of a transmission facility must submit to the ISO a non-significant planned outage request no later than 12:00 noon on Tuesday in the week before the operating week in which the non-significant planned outage is intended to occur.
- (5) The legal owner of a transmission facility must, on the Tuesday before each operating week and prior to 12:00 noon, resubmit to the ISO all planned outage requests that the legal owner intends to conduct in the following operating week.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 306.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

- 1. Information provided by the AESO to the MSA regarding line 1201L during the November 25, 2019 event.
- 2. Self-report submitted by AltaLink L.P., by its general partner, AltaLink Management Ltd. to the MSA dated December 18, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE				
Signature	"Original Signed"	Signature Date	April 29, 2020	
Name	Andrew Wilkins	Title	Director, Compliance	