

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 6, 2020		Payment Due Date: December 7, 2020	
MSA File Number	2019-526	Specified Penalty Amount	\$500
Market Participant Name	WCSB GP III Ltd.		
Asset ID (if applicable)	BHL1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.1	Date of Contravention	November 28, 2018 through September 26, 2019
Date of Referral/Self Report	December 4, 2019	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

Between November 28, 2018 and September 26, 2019, the generating asset BHL1 submitted energy offers above zero dollars. Information available to the MSA indicates that BHL1 had not completed its generator commissioning to achieve commercial operation, and had not received a commissioning certificate from the AESO to indicate commercial operation. Section 203.1 of the ISO rules states in part:

4 Notwithstanding subsection 3(3)(a)(i), a pool participant that submits an offer for a generating source asset which is undergoing commissioning and testing under section 505.3 of the ISO rules, Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing must, until the ISO otherwise authorizes in writing, submit a price for the offer of zero dollars (\$0).

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.1 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch and offer data for BHL1 for November 28, 2018 through September 26, 2019.
2. AESO information request issued to WCSB GP III Ltd., dated October 21, 2019.
3. URICA Energy Real Time Ltd.'s response to the AESO information request, submitted on behalf of WCSB GP III Ltd., dated November 4, 2019.
4. Referral from the AESO to the MSA dated December 4, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	November 6, 2020
Name	Andrew Wilkins	Title	Director, Compliance