

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 18, 2019		Payment Due Date: November 18, 2019	
MSA File Number	2019-344	Specified Penalty Amount	\$250
Registered Entity Name	Capital Power L.P.		
Asset ID (if applicable)	GN2	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	502.4	Date of Contravention	June 27, 2019
Date of Referral/Self Report	July 26, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On June 27, 2019, it was discovered that a back up communication system for the GN2 asset was not operational. The AESO was not verbally informed of this issue and it was not addressed within five business days. Section 502.4 of the ISO rules states in part:

10(1) If either the ISO or a market participant experiences a material disruption or complete loss of any emergency and back up communication systems at a point in time other than during a test period, then verbal notice must be given by:

- (a) the ISO to all affected market participants, if the ISO suffers the disruption or loss; or
- (b) the applicable market participant to the ISO, if the market participant suffers the disruption or loss.

(2) The market participant that experiences the disruption or loss must investigate and repair it as soon as reasonably possible, but in any event no later than five (5) business days after the date of the discovery of the cause of the disruption or loss.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 502.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report submitted by Capital Power L.P. to the MSA dated July 26, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original signed"	Signature Date	October 18, 2019
Name	Andrew Wilkins	Title	Director, Compliance