

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 18, 2019		Payment Due Date: November 18, 2019	
MSA File Number	2019-336	Specified Penalty Amount	\$1,500
Registered Entity Name	Oldman 2 Wind Farm Limited		
Asset ID (if applicable)	OWF1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	203.3	Date of Contravention	February 8, 2019
Date of Referral/Self Report	July 26, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

For settlement intervals HE 19 through HE 24 on February 7, 2019, the available capability (AC) of OWF1 was declared to be 0 MW with the reason "Repair 2 pad mount transformers, and to perform Main breaker services on the turbines." For February 8, 2019, settlement HE 01 through HE 24 the AC of OWF1 was declared as 46 MW with the reason "Default AC". A restatement was then made at 05:47 on February 8, 2019 restating the AC from 46 MW to 0 MW for HE 06 through HE 08 with the reason "Default AC", and at 07:53 changing the AC from 46 MW to 0 MW for HE 09 through HE 10 with the reason "Default AC". However, information available to the MSA indicates that the actual AC of OWF1 was 0 MW from HE 01 through HE 06 of February 8, 2019. In addition, the reason provided in the 05:47 and 07:53 restatements, "Default AC", did not explain the reason for the AC change. Subsection 2 of section 203.3 of the ISO rules states in part:

- (2) A pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of any of the circumstances outlined in subsections 2(1)(a), (b) or (c), submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.
- (3) A pool participant must submit the reason or reasons for submitting an available capability restatement for a source asset.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and energy offer data for OWF1 for February 8, 2019.
2. AESO information request issued to Oldman 2 Wind Farm Limited, dated July 4, 2019.
3. Oldman 2 Wind Farm Limited's response to the AESO information request, dated July 19, 2019.
4. Referral from the AESO to the MSA dated July 26, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original signed"	Signature Date	October 18, 2019
Name	Andrew Wilkins	Title	Director, Compliance