

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 16, 2019		Payment Due Date: November 18, 2019	
MSA File Number	2019-314	Specified Penalty Amount	\$1,500
Registered Entity Name	West Fraser Mills Ltd.		
Asset ID (if applicable)	WWD1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	203.3	Date of Contravention	January 10 through 11, 2019
Date of Referral/Self Report	July 18, 2019	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On January 10, 2019 at approximately 06:53, the WWD1 asset tripped offline. At 08:00, a prior available capability (AC) restatement became effective, approximately 67 minutes after the unit had tripped. As a result, the asset's AC did not reflect its operational state from 06:53, to 08:00.

On January 11, at 00:00, WWD1's declared AC returned to 10 MW, although the asset remained offline. On January 11, at approximately 16:22:36, WWD1 restated its AC to 0 MW with the reason "Automatic Forced Outage- Unplanned Outage". Accordingly, the asset's AC did not reflect its operational state from 00:00 to 16:23:36.

Section 203.3 of the ISO Rules states in part:

2(1) A pool participant must only submit an available capability restatement revising the available capability of a source asset if such revision is:

- (a) as a result of an acceptable operational reason;
- (b) in relation to an operational deviation and required under subsection 5(3) of section 203.4 of the ISO rules, *Delivery Requirements for Energy*; or
- (c) in order to reflect the output of the source asset which is restricted during either one (1) or both of commissioning and testing under section 505.3 of the ISO rules, *Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing* or under section 505.4 of the ISO rules, *Coordinating Operational Testing*.

(2) A pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of any of the circumstances outlined in subsections 2(1)(a), (b) or (c), submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation and energy offer data for WWD1 for January 10 through 11, 2019.
2. AESO information request issued to West Fraser Mills Ltd., dated June 19, 2019
3. West Fraser Mills Ltd.'s response to the AESO information request, dated July 4, 2019.
4. Referral from the AESO to the MSA dated July 18, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	October 15, 2019
Name	Andrew Wilkins	Title	Director, Compliance