

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 16, 2019		Payment Due Date: November 15, 2019	
MSA File Number	2019-294	Specified Penalty Amount	\$1,250
Registered Entity Name	TransCanada Energy Sales Ltd.		
Asset ID (if applicable)	ESBC	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	203.6	Date of Contravention	June 13, 2019
Date of Referral/Self Report	July 9, 2019	This is the second contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On June 13, 2019, TransCanada Energy Sales Ltd. was scheduled to import 50 MW for the HE 11 settlement interval. At approximately 09:45, the associated e-tags for this settlement interval were curtailed to a total flow of 45 MW, however no corresponding restatement to the declared available capability was made. Subsection 6 of section 203.6 of the ISO rules states in part:

6(4) If:

- (a) the pool participant is unable to procure transmission service, or the transmission service is curtailed by any transmission service provider or the ISO, as referenced under subsection 5(3); or
- (b) there is any other change in the available capability for the sink asset or the source asset, as applicable; then the pool participant must submit, as applicable:
 - (i) an energy restatement in accordance with either subsection 3.5.3.2 or subsection 3.5.4.2 of the ISO rules, Mandatory Energy Restatements; or
 - (ii) an ancillary services restatement in accordance with subsection 3.6.3 of the ISO rules, Restatements.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.6.

MATERIAL FACTS

- The material facts relied upon by the MSA include the following:
1. E-tag data and AESO import offer data for ESBC for June 13, 2019.
 2. Self-report submitted by TransCanada Energy Sales Ltd. to the MSA dated July 9, 2019.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original signed"	Signature Date	October 16, 2019
Name	Andrew Wilkins	Title	Director, Compliance